

# Regional growth model for summer maize based on a logistic model: Case study in China

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**Abstract:** The growing degree days (GDD) is an important factor for crop growth because it affects dry matter formation and crop yield. In this study, the universal logistic models were established employing GDD and the relative GDD (RGDD) as the main parameters to characterize summer maize growth indices such as plant height ( $H$ ), leaf area index (LAI), and dry matter accumulation (DMA). The relationships were analyzed between the growth indices, harvest index (HI), water consumption, and yield in maize. By considering China as an example, the results showed that the logistic model performed well at simulating the changes in the summer maize growth indices in different regions and the universal model parameters were within specific ranges. Furthermore, the logistic model with RGDD as the independent variable was more suitable for modeling summer maize growth in large areas than GDD. The relationship between the maximum LAI and HI was described by a quadratic polynomial function. HI was optimal (0.53) when the maximum LAI was about 5.13. The maximum LAI, maximum  $H$ , and maximum DMA could be described by a quadratic polynomial function of water consumption during the growing season. The summer maize yield could be predicted with a binary quadratic equation using the maximum GDD and water consumption. This study confirmed that a logistic model can be used to establish a universal growth model for summer maize in large areas. Reasonable ranges of parameters were recommended for the logistic model, as well as the reasonable water consumption and each growth index value for summer maize. These results will be helpful for predicting the growth and yield of summer maize.

**Keywords:** summer maize, water consumption, logistic model, growing degree days, growth index of crop

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## 1 Introduction

The global climate has changed substantially in recent years and it severely threatens food security<sup>[1-6]</sup>. In addition, rapid population growth and economic development have increased the demand for food crop production<sup>[7]</sup>. Maize is one of the main grain crops grown throughout the world<sup>[8-11]</sup>. In 2017, the area where maize was harvested worldwide comprised  $1.97 \times 10^8$  hm<sup>2</sup>, which was second only to wheat<sup>[12]</sup>. The total area planted with maize in China accounted for 17.7% of that throughout the world and its production was second only to that of the United States, which accounted for 18.6% of global maize production<sup>[12]</sup>. Summer maize production in China is mainly distributed in the North China Plain and northeast, and areas with low production are located in southern China<sup>[13-15]</sup>. However, the maize yield has not been high in recent years and it has even decreased in some

areas<sup>[16]</sup>, while there are large differences between regions<sup>[17,18]</sup>. Thus, a reasonable and efficient method is needed to evaluate the growth characteristics of maize in different regions and to provide a scientific basis for yield improvements.

de Wit<sup>[19]</sup> proposed the first model for describing crop growth. Crop models are now effective tools for assessing crop productivity and they can predict the potential impacts of climate change on future productivity, as well as play important roles in the management and regulation of crop growth and development<sup>[20-22]</sup>. Several programs have been developed for simulating maize growth processes, such as GERMES-Maize<sup>[23]</sup>, EPIC<sup>[24]</sup>, DSSAT-Maize<sup>[25]</sup>, APSIM-Maize<sup>[26]</sup>, CropSyst<sup>[27]</sup>, and Hybrid-Maize<sup>[28]</sup>. These models play important roles in studies of maize growth under different climates, irrigation and fertilization practices, field management systems, and yield prediction<sup>[29]</sup>. Different parameters are required for the models according to the different climates, soils, and other conditions in various regions, and thus it is necessary to estimate the parameters when using these models. Regional models must also be constructed to describe the growth and yield of crops in different regions<sup>[30]</sup>.

Crop indices such as the plant height ( $H$ ), leaf area index (LAI), and dry matter accumulation (DMA) can reflect the growth conditions, and they are also correlated with the final yield to some extent<sup>[31,32]</sup>. These three indices are used in most crop growth models and the yield can be predicted by establishing an analogous model based on the crop growth indices. Logistic model was originally proposed by ecologists to describe the laws of biological population growth and was widely applied in predicting dry matter accumulation, plant height growth, and leaf area expansion due to

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its high accuracy. For example, Khan et al.<sup>[33]</sup> established a series of logistic equations to simulate the cotton biomass under different sowing dates and plant densities conditions. Ma et al.<sup>[34]</sup> and Wang et al.<sup>[35]</sup> severally used the logistic model to simulate dry matter accumulation of spring wheat and winter wheat in different irrigation regimes. Liu et al.<sup>[36]</sup> and Wang et al.<sup>[37]</sup> developed a universal model for predicting the growth indexes of winter wheat and cotton based on the logistic model, respectively. Wang et al.<sup>[38]</sup> established growth functions for  $H$  and LAI in summer maize using logistic and modified logistic equations, and obtained good fitting precision. Fang et al.<sup>[39]</sup> employed the Richards equation<sup>[40]</sup> to predict the dry 100-grain weight by using the post-flowering time as an independent variable. However, these models were used to study changes in the crop growth indices over time in specific regions. Models are often affected by meteorological conditions, so their use in a wide range of conditions is not recommended<sup>[41]</sup>. Simulations of different regions or models are difficult to compare due to the inconsistency of the parameters<sup>[42]</sup>, so the accuracy of simulations is not easy to determine<sup>[21]</sup>.

The growing degree days (GDD) was first proposed as an ecosystem index in the 1730s for studying diurnal variations in temperature and their impact on different plant growth stages as the basis for the development of crops adapted to future climates<sup>[43]</sup>. GDD is the sum of the effective temperature accumulated during the growth of a crop and it can represent the comprehensive influence of temperature on all aspects of growth in order to analyze the suitable thermal conditions for the crop<sup>[44]</sup>. The GDD required for an entire growing season is relatively stable for a crop within a geographic region, and it can be used to express the length of the growing season when the temperature and other environmental factors are suitable<sup>[45-47]</sup>. Lin et al.<sup>[48]</sup> established a logistic model of the increases in the foliar area based on GDD for various maize varieties. The growth stage represented by GDD has used an independent variable in the model and simulations indicated that the variable trends were similar for the LAI and growth period. It was more practical to use the change in the LAI over time as an independent variable but maize LAI data were only available for Shandong and Hebei provinces, so the model could not be applied to a large area. Wang et al.<sup>[49]</sup> established a modified logistic model of the increase in the maize LAI based on data from Yucheng and Shenyang, but the suitability of this model for universal application still needs to be demonstrated. Zhang et al.<sup>[50]</sup> observed that the model proposed by Lin et al.<sup>[48]</sup> neglects the effects of excessively high or low temperatures on maize growth when calculating GDD and the summer maize indices must be measured during the silking period, thereby imposing a specific time limitation. Thus, the normalized GDD was employed as a variable by calculating the GDD using the mean temperature, and a modified form of the logistic equation proposed by Wang<sup>[51]</sup> was used to fit the relationship between the relative LAI (RLAI) and growth period for different maize varieties. The dynamic changes in the LAI were simulated and analyzed, and the simulated and measured values were highly correlated. Therefore, the growth characteristics of summer maize under different treatments in the same area can be described using a normalized model, but the relationships between the growth characteristics of summer maize in different areas still require further analysis.

GDD can be used to identify suitable sowing dates, growth periods, and the physiological characteristics of crops under specific conditions<sup>[52,53]</sup>, before predicting the yield and harvest index (HI) for different sowing dates<sup>[54]</sup>. HI is also known as an

economic coefficient and it was first proposed by Ni<sup>[55]</sup> and Donald<sup>[56]</sup>. HI is the ratio of the economic to biological yield at harvest, which represents the ability of crop products to be converted into economic products. The HI for summer maize has been correlated with parameters such as canopy coverage and plant dry matter<sup>[57,58]</sup>, but the quantitative relationship has rarely been studied between LAI and HI for summer maize. LAI and GDD can be correlated to represent the crop biomass amount to some extent, so HI and LAI must have an inherent relationship<sup>[59-63]</sup>.

In this study, data for summer maize were collected from many sources to determine the quantitative relationship between HI and maximum LAI. Growth indices ( $H$ , LAI, and DMA) for summer maize in China (mainly northern China) were modeled with logistic and modified logistic equations using GDD or RGDD as an independent variable. The relationships between growth indices, GDD, RGDD, water consumption, and yield in various areas were analyzed in order to identify common changes in the areas, as well as to determine the appropriate GDD and water consumption for the entire growing season and to improve yield prediction accuracy.

This study provides a theoretical basis and appropriate technical parameters for analyzing the growth characteristics of summer maize at different spatial scales and for establishing a universal model of summer maize growth.

## 2 Data sources and research methods

### 2.1 Data sources

Growth characteristics data for summer maize were obtained from 136 publications from 1987 to 2018 available via the China National Knowledge Infrastructure, which covered 54 regions in China. Meteorological data (mainly temperature and rainfall data) were collected from the National Meteorological Information Center of China. The following principles were applied for collecting the crop-growth data: (1) data were obtained directly from the text or figures using GetData Graph Digitizer; (2) all data selected were representative, excluding data obtained from rarely used agricultural practices; and (3) more than three sets of data samples were chosen for most regions, and 1-2 sets were obtained for only a few areas.

The main areas for growing summer maize were in northern China, the middle and lower reaches of the Yellow River, northeastern China, and the Xinjiang Uygur Autonomous Region. The soil texture was mainly loam in the maize-growing areas. Different varieties of summer maize were sown in various regions in the selected studies from late April to mid-June and harvested from late August to early October. Nitrogenous fertilizer (urea) was used as the base fertilizer in the experimental fields. Potassium fertilizers (KCl,  $K_2SO_4$ , and  $K_2O$ ) and phosphorus fertilizers ( $P_2O_5$ ,  $NH_4H_2PO_4$ , and superphosphate) were applied after the seedling, 12-leaf, or filling stage, depending on the nutrient conditions in the soil. The numbers of samples and data sources are listed in Tables 1-7.

### 2.2 Analysis methods

#### 2.2.1 Relationships between relative growth indices, GDD, and RGDD

Publications describing the growth characteristics of summer maize were analyzed to collect data regarding the variations in  $H$ , LAI, and aboveground DMA. The variations in  $H$  with GDD and RGDD were analyzed using 162 data sets (Table 1); the variations in LAI with GDD and RGDD were analyzed using 824 data sets (Table 2); the variations in DMA with GDD and RGDD were analyzed using 302 data sets (Table 3).

GDD and RGDD were calculated for the summer maize growing season in various regions using data obtained from the China Meteorological Data Network (<http://data.cma.cn/>). The

relationships between the indices representing maize growth characteristics, GDD, and RGDD were analyzed, and suitable growth models for different regions were integrated.

**Table 1 Sample sizes and data sources for plant height in the study regions**

Province	City (district)	Sample size	References	Province	City (district)	Sample size	References
Henan	Jiaozuo	6	[64, 65]	Hebei	Handan	4	[80]
Henan	Kaifeng	8	[66, 67]	Hebei	Xinji	16	[81]
Henan	Zhengzhou	3	[68]	Beijing	Haidian	3	[82]
Henan	Zhumadian	5	[69, 70]	Beijing	Daxing	4	[83]
Henan	Xinxiang	24	[71-74]	Beijing	Tongzhou	9	[84]
Shandong	Zaozhuang	7	[75]	Jilin	Jilin	6	[85]
Shandong	Jining	2	[76]	Anhui	Tianchang	20	[86-88]
Shandong	Dezhou	5	[77]	Tianjin	Wuqing	7	[89]
Shandong	Laizhou	6	[78]	Heilongjiang	Jiamusi	5	[90]
Shaanxi	Yangling	20	[79]	Shaanxi	Xianyang	1	[91]

**Table 2 Sample sizes and data sources for the leaf area index in the study regions**

Province	City (district)	Sample size	References	Province	City (district)	Sample size	References
Henan	Huangfan	4	[92]	Jilin	Changchun	3	[150]
Henan	Yuzhou	4	[93]	Jilin	Huadian	5	[151]
Henan	Jiaozuo	18	[65, 94-97]	Jilin	Jilin	6	[85]
Henan	Shangqiu	23	[98-100]	Jilin	Changchun	8	[152, 153]
Henan	Kaifeng	11	[66, 67, 101]	Shaanxi	Weinan	2	[154]
Henan	Anyang	15	[102, 103]	Shaanxi	Yangling	33	[79, 155, 156]
Henan	Luoyang	16	[104-107]	Shaanxi	Xianyang	1	[91]
Henan	Zhengzhou	40	[68, 108-111]	Gansu	Wuwei	7	[157]
Henan	Hebi	26	[112-115]	Jiangsu	Yangzhou	12	[158]
Henan	Zhumadian	25	[69, 70, 116-120]	Jiangsu	Nanjing	5	[159]
Henan	Xinxiang	38	[71-74, 110, 121, 122]	Hebei	Baoding	29	[132, 160, 161]
Henan	Pingdingshan	8	[123, 124]	Hebei	Cangzhou	58	[162-168]
Henan	Xuchang	3	[110, 125]	Hebei	Handan	4	[80]
Heilongjiang	Jiamusi	5	[90]	Hebei	Langfang	6	[169, 170]
Heilongjiang	Mishan	11	[126, 127]	Hebei	Xinji	16	[81]
Shandong	Zaozhuang	7	[75]	Hebei	Shijiazhuang	27	[171-173]
Shandong	Tai'an	83	[128-133]	Tianjin	Wuqing	7	[89]
Shandong	Linyi	32	[134, 135]	Anhui	Fuyang	6	[174]
Shandong	Jining	41	[136-140]	Anhui	Tianchang	20	[86-88]
Shandong	Qingdao	14	[141]	Beijing	Beijing	1	[175]
Shandong	Dezhou	10	[77, 142]	Beijing	Haidian	46	[176-182]
Shandong	Laizhou	6	[78]	Beijing	Daxing	4	[83]
Shandong	Yantai	12	[143-145]	Beijing	Tongzhou	9	[84]
Shandong	Zibo	5	[146]	Nei Monggol	Ordos	30	[183]
Liaoning	Shenyang	17	[147, 148]	Liaoning	Haicheng	5	[149]
Liaoning	Haicheng	5	[149]				

**Table 3 Sample sizes and data sources for dry matter accumulation in the study regions**

Province	City (district)	Sample size	References	Province	City (district)	Sample size	References
Jilin	Changchun	7	[150, 152]	Henan	Yuzhou	4	[93]
Jilin	Jilin	6	[85]	Henan	Jiaozuo	12	[64, 95-97]
Jilin	Lishu	3	[184]	Henan	Shangqiu	8	[99]
Beijing	Haidian	27	[82, 177, 180, 181]	Henan	Anyang	12	[102]
Shaanxi	Yangling	23	[79, 156]	Henan	Luoyang	8	[104, 107]
Shandong	Tai'an	26	[132, 134]	Henan	Zhengzhou	5	[187]
Shandong	Linyi	20	[132, 137]	Henan	Zhumadian	4	[118]
Shandong	Jining	19	[76, 139, 140]	Hebei	Cangzhou	8	[168]
Shandong	Dezhou	5	[142]	Hebei	Langfang	31	[169, 170, 188, 189]
Shandong	Yantai	7	[144, 145]	Hebei	Shijiazhuang	12	[172, 173]
Shandong	Zibo	5	[146]	Nei Monggol	Ordos	30	[183]
Sichuan	Ya'an	18	[185, 186]				

Each crop has upper and lower biological temperature limits, above and below which the crop ceases to grow<sup>[190]</sup>. The upper and lower biological temperature limits for summer maize are 40°C and 7°C, respectively<sup>[191]</sup>. GDD is the difference between the daily average temperature and the minimum temperature required for crop activity:

$$GDD = \sum (T_{avg} - T_{base}) \quad (1)$$

where,  $T_{avg}$  is the mean daily temperature, °C;  $T_{base}$  is the minimum temperature required for crop activity, °C. McMaster and Wilhelm<sup>[46]</sup> proposed the following method for calculating  $T_{avg}$ :

$$\begin{cases} T_{avg} = \frac{(T_x + T_n)}{2} \\ T_{avg} = T_{base}, & \text{if } T_{avg} \leq T_{base} \\ T_{avg} = T_{upper}, & \text{if } T_{avg} \geq T_{upper} \end{cases} \quad (2)$$

where,  $T_{upper}$  is the maximum temperature tolerated for crop activity, °C;  $T_x$  is the daily maximum temperature, °C;  $T_n$  is the daily minimum temperature, °C.

Representative data (e.g., different planting years and areas) were selected to describe the relationships between the growth indices and GDD, and to analyze the characteristic changes based on a large amount of data. Curves were then drawn between each relative growth index and GDD in different regions. The following logistic models (Equations (3) and (4)) and modified logistic model (Equation (5)) were used to analyze the variations in H, DMA, and LAI for the different regions:

$$RH = \frac{H}{H_{max}} = \frac{1}{1 + e^{a_0 + a_1 x}} \quad (3)$$

$$RDMA = \frac{DMA}{DMA_{max}} = \frac{1}{1 + e^{b_0 + b_1 x}} \quad (4)$$

$$RLAI = \frac{LAI}{LAI_{max}} = \frac{1}{1 + e^{c_0 + c_1 x + c_2 x^2}} \quad (5)$$

where,  $a_0$ ,  $a_1$ ,  $b_0$ ,  $b_1$ ,  $c_0$ ,  $c_1$ , and  $c_2$  are empirical parameters;  $H_{max}$ ,  $DMA_{max}$ , and  $LAI_{max}$  are the theoretical maxima of H (cm), DMA (kg/hm<sup>2</sup>) and LAI, respectively;  $x$  is an independent variable that can be GDD or RGDD; RGDD is calculated by dividing GDD for each growth stage by the maximum GDD in the whole growth period.

The models for H were verified using experimental data from Kaifeng<sup>[66]</sup>, Tianchang<sup>[88]</sup>, Jining<sup>[76]</sup>, Yangling<sup>[79]</sup>, and Jilin<sup>[85]</sup>. The model for the increase in LAI was verified using experimental data from Qingdao<sup>[141]</sup>, Cangzhou<sup>[167]</sup>, Yangling<sup>[155]</sup>, Yangzhou<sup>[158]</sup>, and Shenyang<sup>[148]</sup>. The model for the increase in DMA was verified using experimental data from Langfang<sup>[169]</sup>, Tai'an<sup>[134]</sup>, Anyang<sup>[102]</sup>, Ya'an<sup>[185]</sup>, and Changchun<sup>[150]</sup>. The soils in the verification data sets were mainly loam and clay loam. The climate in the region where the data were verified was mostly a monsoon climate.

### 2.2.2 Relationships between $H_{max}$ , $LAI_{max}$ , $DMA_{max}$ , and water consumption

227 data sets were collected from 29 regions to establish the relationship between  $H_{max}$  and water consumption (Table 4), 65 data sets from nine regions to establish the relationship between  $LAI_{max}$  and total water consumption (Table 5), and 204 data sets from 29 regions to establish the relationship between  $DMA_{max}$  and water consumption (Table 6). The range of water consumption was divided into eight intervals: 100-200, 200-300, 300-400, 400-500, 500-600, 700-800, 900-1000, and 1000-1100 mm. The average water consumption and the corresponding  $LAI_{max}$  were calculated, and the relationship between them was determined.

The relationships between  $H_{max}$ ,  $DMA_{max}$ , and water consumption were established using the same method.

**Table 4 Sample sizes and data sources for the maximum leaf area index, water consumption, and yield in the study regions**

Province	City (district)	Sample size	References
Henan	Jiaozuo	2	[95]
Henan	Kaifeng	4	[101, 115]
Henan	Xinxiang	25	[71, 73, 192, 193]
Henan	Xuchang	2	[125]
Hebei	Cangzhou	8	[168]
Anhui	Tianchang	6	[88]
Beijing	Daxing	4	[181]
Beijing	Tongzhou	9	[84]
Shaanxi	Yangling	5	[194]

**Table 5 Sample sizes and data sources for the maximum plant height and water consumption in the study regions**

Province	City (district)	Sample size	References
Henan	Kaifeng	7	[101, 125]
Henan	Xinxiang	25	[71, 73, 192, 193]
Henan	Jiaozuo	10	[96, 195]
Shandong	Jining	18	[76]
Shandong	Zaozhuang	7	[75]
Shandong	Dezhou	10	[77, 142]
Shandong	Tai'an	16	[130]
Shandong	Yantai	5	[143]
Shaanxi	Yangling	26	[79, 91, 194]
Anhui	Tianchang	13	[87, 88]
Beijing	Tongzhou	9	[84]
Beijing	Haidian	32	[177, 181, 182]
Hebei	Cangzhou	20	[163, 168]
Hebei	Huanghua	4	[196]
Beijing	Daxing	4	[83]
Shanxi	Linfen	4	[197]
Tianjin	Wuqing	7	[89]

**Table 6 Sample sizes and data sources for the maximum dry matter accumulation and water consumption in the study regions**

Province	City (district)	Sample size	References
Shandong	Yantai	5	[143]
Shandong	Dezhou	10	[77, 142]
Shandong	Jining	17	[139, 140]
Shandong	Zibo	10	[146]
Shandong	Linyi	32	[134, 135]
Shandong	Tai'an	15	[129, 198]
Henan	Shangqiu	8	[99]
Henan	Anyang	12	[102]
Henan	Xuchang	2	[125]
Henan	Luoyang	5	[74, 104]
Henan	Jiaozuo	10	[95, 97]
Henan	Zhumadian	11	[70, 118, 119]
Hebei	Cangzhou	8	[166]
Hebei	Shijiazhuang	8	[172]
Anhui	Tianchang	7	[87]
Beijing	Haidian	17	[177, 179, 180]
Shaanxi	Yangling	20	[79]
Jilin	Changchun	7	[152, 199]

### 2.2.3 Relationship between $LAI_{max}$ and HI

HI is the ratio of economic to biological yield at harvest and it represents the ability of crops to convert photosynthetic and assimilative products into economic products. In our study, the relationship between LAI and HI was determined based on 211 data sets for 24 regions (Table 7). The range of  $LAI_{max}$  was

divided into seven intervals: 3-4, 4-5, 5-5.5, 5.5-6, 6-7, 7-8 and 8-9, based on the  $LAI_{max}$  characteristics, and the quantitative relationship between  $LAI_{max}$  and its corresponding HI was determined using the averages for each interval. The relationship was determined between  $LAI_{max}$  and HI.

**Table 7 Sample sizes and data sources for the maximum leaf area index and the harvest index in the study regions**

Province	City (district)	Sample size	References
Henan	Yuzhou	8	[93]
Henan	Jiaozuo	3	[66, 95]
Henan	Shangqiu	8	[99]
Henan	Kaifeng	3	[101]
Henan	Anyang	15	[102, 103]
Henan	Luoyang	11	[106, 107]
Henan	Hebi	4	[114]
Henan	Zhumadian	6	[69, 116]
Henan	Xinxiang	11	[110, 121]
Henan	Xuchang	3	[110, 125]
Henan	Zhengzhou	1	[110]
Hebei	Baoding	13	[160]
Hebei	Cangzhou	8	[166]
Hebei	Langfang	3	[169]
Hebei	Shijiazhuang	11	[117, 172]
Jilin	Changchun	7	[152, 199]
Beijing	Haidian	21	[177, 180, 181]
Shandong	Tai'an	20	[132, 196]
Shandong	Linyi	32	[134, 135]
Shandong	Jining	2	[76]
Shandong	Dezhou	5	[142]
Shandong	Yantai	5	[143]
Shandong	Jinan	5	[200]
Xinjiang	Wujiaqu	6	[201]

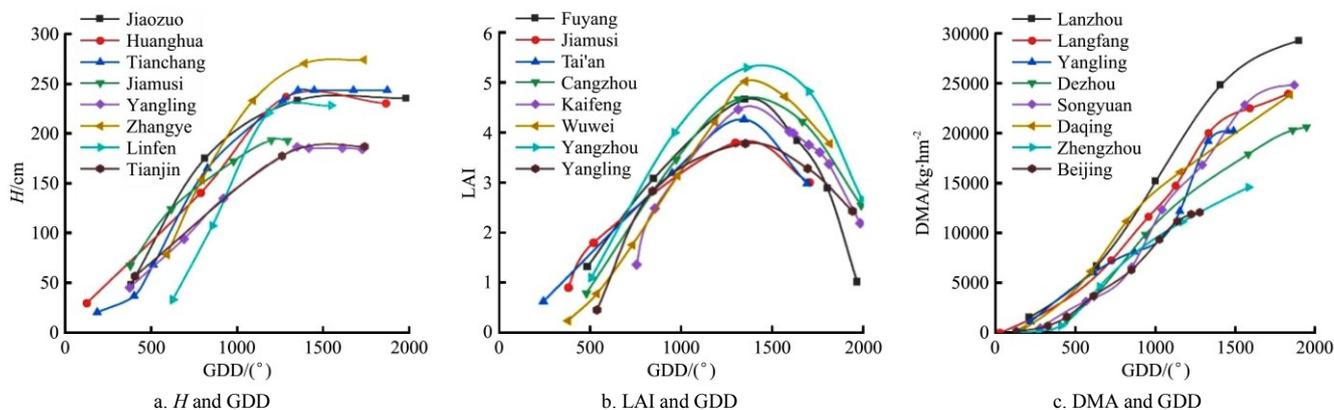


Figure 1 Relationships between plant height ( $H$ ), leaf area index ( $LAI$ ), dry matter accumulation ( $DMA$ ), growing degree days ( $GDD$ ) in the study regions

$H_{max}$  differed greatly among the test sites (Figure 1a), as well as  $LAI_{max}$  (Figure 1b) and  $DMA_{max}$  (Figure 1c). The relative plant height ( $RH$ ), relative leaf area index ( $RLAI$ ), and relative dry matter accumulation ( $RDMA$ ) were used to identify the characteristic variations among the sites, and the relationships were determined between  $RH$ ,  $RLAI$ ,  $RDMA$ , and  $GDD$ . The highest and lowest data points were used to fit the upper and lower envelope curves (Figure 2).  $RH$ ,  $RLAI$ , and  $RDMA$  were fitted with  $GDD$  using Equations (6)-(8).

$$RH = \frac{1}{1 + e^{3.266 - 4.9 \times 10^{-3} GDD}} \quad (6)$$

$$RLAI = \frac{1}{1 + e^{9.135 - 0.016 GDD + 5.8 \times 10^{-6} GDD^2}} \quad (7)$$

## 2.2.4 Water-heat coupling relationship for summer maize yield

In total, 46 data sets were collected for the yield,  $GDD_{max}$ , and water consumption (Table 4), where 36 datasets were used to analyze the water-heat coupling relationship for the summer maize yield by using a binary quadratic equation, and 10 sets were used to verify the established equations.

## 2.3 Statistical and error analyses

All statistical analyses were conducted using SPSS 22.0 (IBM Corp., Chicago, IL, USA). Errors were analyzed using the coefficient of determination ( $R^2$ ), root mean square error ( $RMSE$ ), and relative error ( $Re$ ) to test the correlations.

## 3 Results

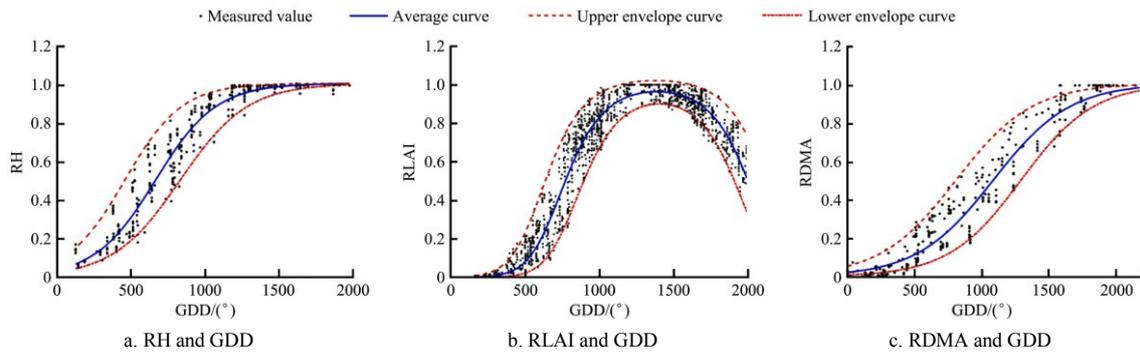
### 3.1 Relationships between relative growth indices and GDD

Figure 1 shows the curves obtained for the three summer maize growth indices as  $GDD$  increased at different sites.  $H$  and  $DMA$  increased slowly with  $GDD$  in the early stages, rapidly in the intermediate stages, and the increases gradually stopped in the later stages, where the increases in  $H$  and  $DMA$  were similar at all sites. The regular variation in  $LAI$  with  $GDD$  was obvious, and the increasing and decreasing trends in  $LAI$  were consistent with each other. The growth rates of  $H$  and  $LAI$  were slow when  $GDD < 500^\circ C$ . When  $GDD$  ranged between  $500^\circ C$  and  $1000^\circ C$ , both  $H$  and  $LAI$  increased rapidly. The growth rates of  $H$  and  $LAI$  decreased when  $GDD$  was between  $1000^\circ C$  and  $1300^\circ C$ .  $H$  and  $LAI$  reached their maximum values when  $GDD$  was about  $1400^\circ C$ .  $LAI$  gradually decreased when  $GDD > 1400^\circ C$ . The growth of  $DMA$  was slow when  $GDD < 700^\circ C$ . Dry matter accumulated rapidly when  $GDD$  was between  $1000^\circ C$  and  $1400^\circ C$ . The  $DMA$  rate decreased when  $GDD > 1400^\circ C$ , before peaking in the maturation stage when  $GDD$  increased to about  $2000^\circ C$ .

$$RDMA = \frac{1}{1 + e^{3.803 - 3.5 \times 10^{-3} GDD}} \quad (8)$$

Table 8 lists the fitted parameters for the upper and lower envelopes around  $RH$ ,  $RLAI$ , and  $RDMA$ . The upper and lower envelopes fitted well with the highest and lowest data points, and all of the  $R^2$  values were 0.99. Experimental data from five other regions were used to verify the model (Figure 3) and the average curves simulated the measured values well. Comprehensive comparisons indicated that the average curves provided the best simulations for  $RH$ ,  $RLAI$ , and  $RDMA$ .

The average curves were used to describe the relationships between  $RH$ ,  $RLAI$ ,  $RDMA$ , and  $GDD$ . The first-order derivative functions of Equations (6) and (8) were used to calculate the slopes

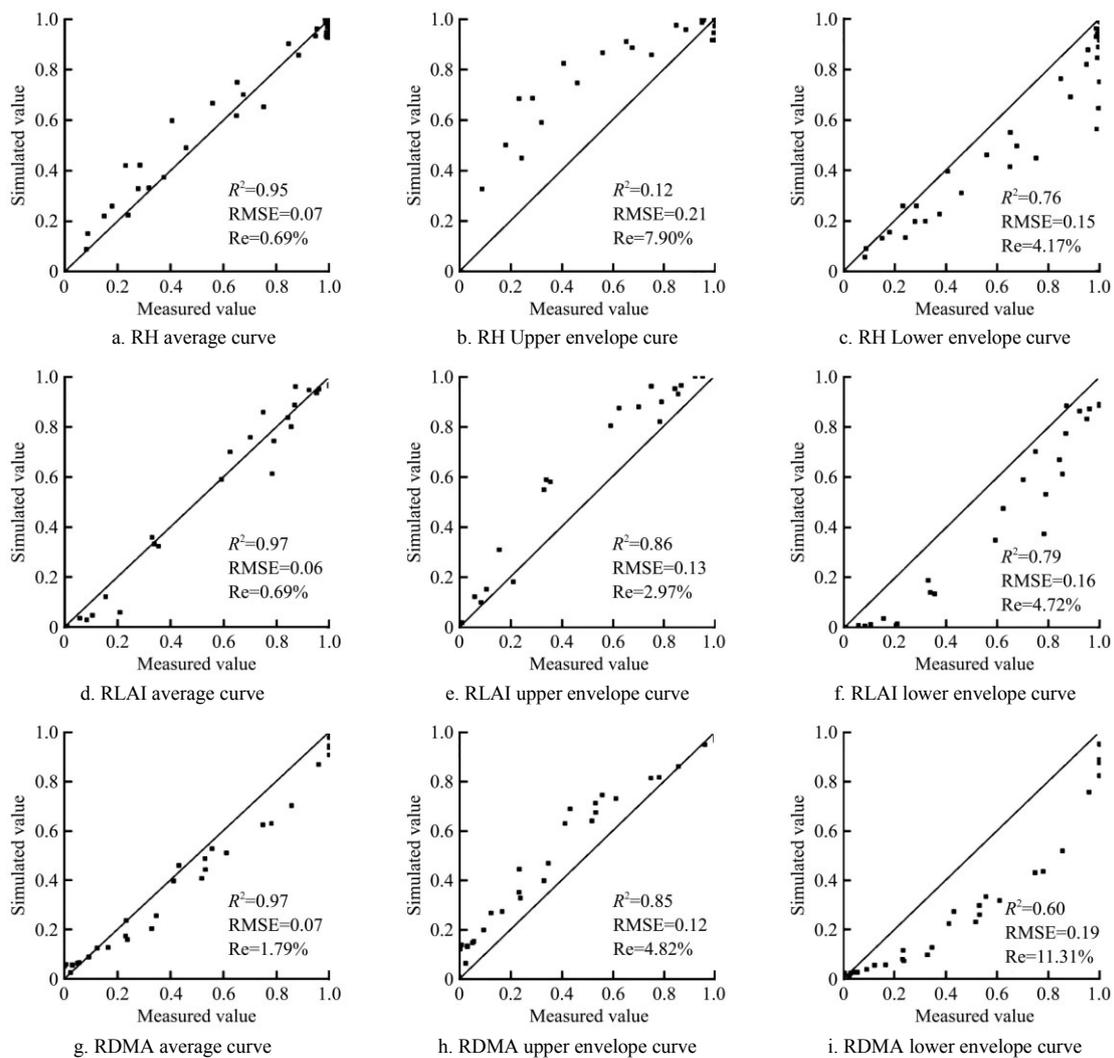


Note: The upper and lower envelopes were fitted with the highest and lowest data points, respectively.

Figure 2 Relationships between relative plant height (RH), relative leaf area index (RLAI), relative dry matter accumulation (RDMA), and growing degree days (GDD), and comparisons between the measured values and fitted curves

**Table 8 Logistic model parameters determined for the average curve and the upper and lower envelope curves for the relationships between the relative plant height (RH), relative leaf area index (RLAI), and relative dry matter accumulation (RDMA) with the growing degree days (GDD)**

Type	RH-GDD			RLAI-GDD			RDMA-GDD			
	$a_0$	$a_1$	$R^2$	$c_0$	$c_1$	$c_2$	$R^2$	$b_0$	$b_1$	$R^2$
Average curve	3.266	$-4.9 \times 10^{-3}$	0.95	9.135	-0.016	$5.8 \times 10^{-6}$	0.87	3.803	$-3.5 \times 10^{-3}$	0.95
Upper envelope curve	2.338	$-5.2 \times 10^{-3}$	0.99	7.167	-0.014	$5.2 \times 10^{-6}$	0.99	2.860	$-3.5 \times 10^{-3}$	0.99
Lower envelope curve	3.666	$-4.3 \times 10^{-3}$	0.99	11.600	-0.019	$6.7 \times 10^{-6}$	0.99	4.784	$-3.7 \times 10^{-3}$	0.99



Note: The RH values were obtained at five experimental stations in Kaifeng<sup>[66]</sup>, Tianchang<sup>[88]</sup>, Jining<sup>[76]</sup>, Yangling<sup>[79]</sup>, and Jilin<sup>[85]</sup>. The RLAI values were obtained at five experimental stations in Qingdao<sup>[141]</sup>, Cangzhou<sup>[167]</sup>, Yangling<sup>[155]</sup>, Yangzhou<sup>[158]</sup>, and Shenyang<sup>[148]</sup>. The RDMA values were obtained at five experimental stations in Langfang<sup>[169]</sup>, Tai'an<sup>[134]</sup>, Anyang<sup>[102]</sup>, Ya'an<sup>[185]</sup>, and Changchun<sup>[150]</sup>

Figure 3 Verification of the models established for the relationships between the relative plant height (RH), relative leaf area index (RLAI), and relative dry matter accumulation (RDMA) with the growing degree days (GDD) using average curve, upper and lower envelope curves

of the curves for RH and RDMA. The slopes of the growth curves for RH were 0.0004, 0.0010, 0.0012, 0.0006, and 0.00004 for GDDs of 200°C, 500°C, 700°C, 1000°C, and 1600°C, respectively, which represent different summer maize growing stages. Thus, the slopes of the curves increased initially and then decreased. The rate of increase in  $H$  was slow and then fast, before finally becoming slow again. The slopes of the curves for RDMA were 0.00014, 0.00036, 0.00059, 0.00087, and 0.00065 for GDDs of 200°C, 500°C, 700°C, 1000°C, and 1600°C, respectively. The increase in the rate of DMA was largest when GDD was about 1000°C, which is consistent with the results in Figure 2.

Let:

$$\frac{d^2RH}{dGDD^2} = \frac{d^2RDMA}{dGDD^2} = 0$$

The inflection point of the curve for RH occurred when  $GDD = -a_0/a_1 = 700^\circ\text{C}$ . The rate of increase in  $H$  gradually increased when  $GDD < 700^\circ\text{C}$  but gradually decreased when  $GDD > 700^\circ\text{C}$ .  $GDD = -b_0/b_1 = 1000^\circ\text{C}$ , which is the inflection point of the curve for RDMA. Thus, the rate of increase in DMA gradually increased when  $GDD < 1000^\circ\text{C}$  and gradually decreased when  $GDD > 1000^\circ\text{C}$ .

The quantitative relationship between  $H$  and LAI was obtained by combining Equations (6) and (7), as follows.

$$RLAI = \frac{1}{1 + e^{0.240 \ln^2\left(\frac{1}{RH} - 1\right) + 1.713 \ln\left(\frac{1}{RH} - 1\right) + 1.002}} \quad (9)$$

The corresponding LAI based on  $H$  measured in a growing season was calculated using Equation (9). The first-order derivation of Equation (9) was below zero, thereby indicating that

$\frac{LAI}{LAI_{max}}$  increased when  $\frac{H}{H_{max}}$  increased. LAI was closer to its maximum when  $H$  was near its maximum. According to the variations in LAI and  $H$  with GDD, LAI and  $H$  both increased when  $GDD < 1400^\circ\text{C}$ , and they were positively correlated. Both

LAI and the rate of increase in  $H$  gradually decreased when  $GDD > 1400^\circ\text{C}$ .

### 3.2 Relationships between relative growth indices and RGDD

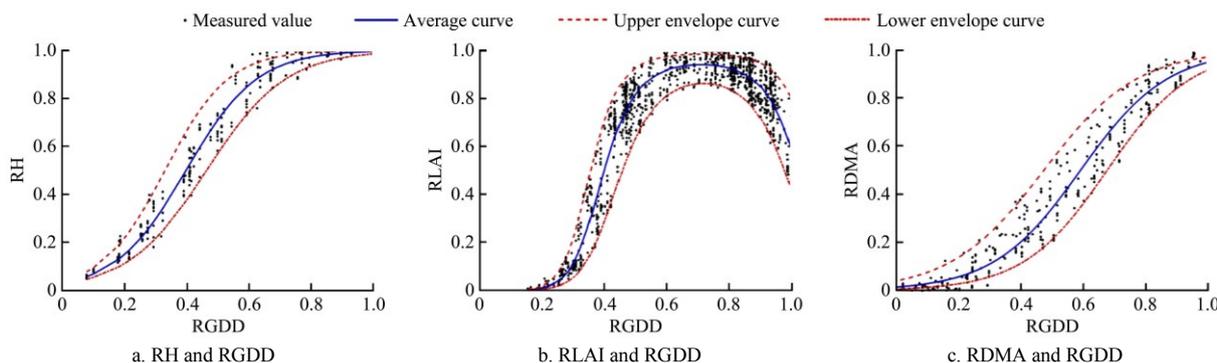
The relationships between the relative growth indices for summer maize and RGDD are plotted in Figure 4. The variations in each index were the same as those shown in Figure 2. The increases in RH and RDMA were both characterized by changes from small to large values and then to small values, whereas RLAI increased initially and then decreased. Both RH and RLAI increased slowly when  $RGDD < 0.3$ . Both RH and RLAI increased rapidly when RGDD ranged between 0.3 and 0.5. The increases in RH and RLAI were smaller than before when RGDD ranged between 0.5 and 0.6. The values of RH and RLAI gradually stabilized when  $RGDD > 0.6$ . RLAI declined rapidly when  $RGDD > 0.8$ . The increase in RDMA was greater when RGDD was between 0.4 and 0.7 compared with that when  $RGDD < 0.4$ . The increase in RDMA was small and RDMA gradually stabilized when  $RGDD > 0.7$ . The changes in the indices shown in Figure 4 were fitted using the following logistic models.

$$RH = \frac{1}{1 + e^{3.192 - 8.013RGDD}} \quad (10)$$

$$RLAI = \frac{1}{1 + e^{7.385 - 28.150RGDD + 20.320RGDD^2}} \quad (11)$$

$$RDMA = \frac{1}{1 + e^{4.143 - 7.028RGDD}} \quad (12)$$

The logistic model parameters for the three relative growth indices fitted with RGDD are listed in Table 9. The upper and lower envelopes fitted well with the outermost data points, and all of the  $R^2$  values were 0.99. The average curves for the three growth indices all fitted well with the measured point values, and  $R^2 > 0.85$ . Figure 5 shows the validation results obtained with Equations (10)-(12). The average curves fitted each of the indices best ( $R^2 > 0.95$  and  $Re < 1\%$ ). Therefore, the average curve can be used as the fitted curve between each growth index and RGDD.

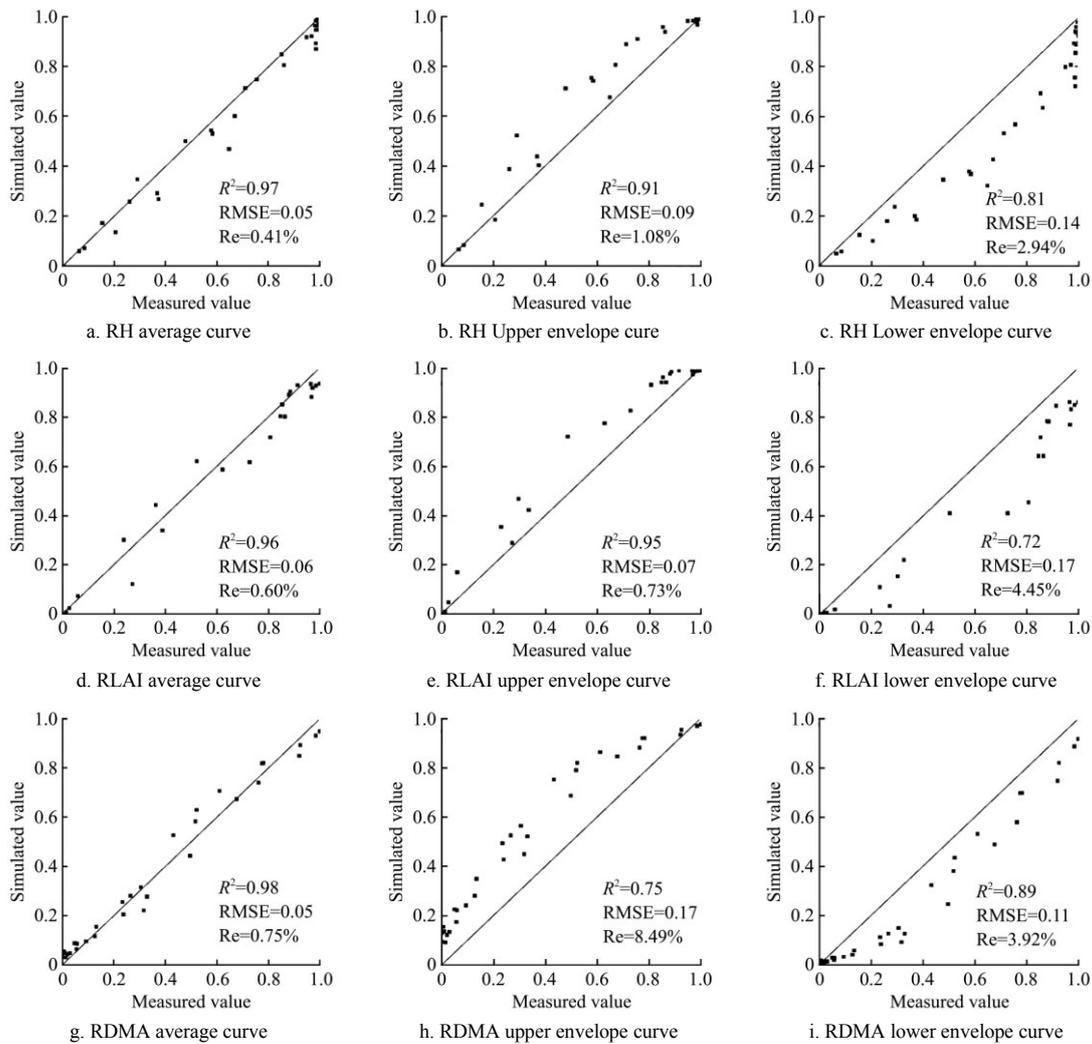


Note: The upper and lower envelopes were fitted with the highest and lowest data points, respectively.

Figure 4 Relationships between relative plant height (RH), relative leaf area index (RLAI), relative dry matter accumulation (RDMA), and relative growing degree days (RGDD), and comparisons of the measured values and fitted curves

**Table 9 Logistic model parameters for the average curve and upper and lower envelope curves for the relationships between the relative plant height (RH), relative leaf area index (RLAI), and relative dry matter accumulation (RDMA) with the relative growing degree days (RGDD)**

Type	RH-RGDD			RLAI-RGDD			RDMA-RGDD			
	$a_0$	$a_1$	$R^2$	$c_0$	$c_1$	$c_2$	$R^2$	$b_0$	$b_1$	$R^2$
Average curve	3.192	-8.013	0.96	7.385	-28.150	20.320	0.89	4.143	-7.028	0.95
Upper envelope curve	3.150	-10.520	0.99	7.102	-29.037	20.860	0.99	3.159	-6.675	0.99
Lower envelope curve	3.333	-6.175	0.99	7.661	-27.260	19.210	0.99	5.017	-7.412	0.99



Note: The RH values were obtained at five experimental stations in Kaifeng<sup>[66]</sup>, Tianchang<sup>[88]</sup>, Jining<sup>[76]</sup>, Yangling<sup>[79]</sup>, and Jilin<sup>[85]</sup>. The RLAI values were obtained at five experimental stations in Qingdao<sup>[141]</sup>, Cangzhou<sup>[167]</sup>, Yangling<sup>[155]</sup>, Yangzhou<sup>[158]</sup>, and Shenyang<sup>[148]</sup>. The RDMA values were obtained at five experimental stations in Langfang<sup>[169]</sup>, Tai'an<sup>[134]</sup>, Anyang<sup>[102]</sup>, Ya'an<sup>[185]</sup>, and Changchun<sup>[150]</sup>

Figure 5 Verification of the models established between the relative plant height (RH), relative leaf area index (RLAI), and relative dry matter accumulation (RDMA) with the relative growing degree days (RGDD) using average curve, upper and lower envelope curves

Let:

$$\frac{d^2RH}{dRGDD^2} = \frac{d^2RDMA}{dRGDD^2} = 0$$

The inflection point of the curve for RH occurred when RGDD =  $-a_0/a_1 = 0.40$ .  $H$  increased gradually when  $RGDD < 0.40$  and decreased gradually when  $RGDD > 0.40$ .  $RGDD = -b_0/b_1 = 0.59$ , which is the inflection point of the curve for RDMA. Thus, DMA increased gradually when  $RGDD < 0.59$  and decreased gradually when  $RGDD > 0.59$ . Let:

$$\frac{dRLAI}{dRGDD} = 0$$

RLAI reached the maximum when  $RGDD = 0.70$ , which is consistent with the characteristics shown in Figure 4.

### 3.3 Relationships between $H_{max}$ , $LAI_{max}$ , $DMA_{max}$ , and water consumption

The relationships obtained between the water consumption and  $H_{max}$ ,  $LAI_{max}$ , and  $DMA_{max}$  are shown in Figure 6. These three growth indices tended to increase initially and then decrease as water consumption increased. The relationships between  $H_{max}$ ,  $LAI_{max}$ ,  $DMA_{max}$ , and water consumption could be described by the following three quadratic polynomial functions:

$$\begin{cases} H_{max} = -3.4 \times 10^{-4} W^2 + 0.4275W + 115.6629 \\ LAI_{max} = -8 \times 10^{-6} W^2 + 0.01W + 1.9818 \\ DMA_{max} = -0.0154W^2 + 19.1935W + 1.4744 \times 10^4 \end{cases} \quad (13)$$

where,  $W$  is the water consumption during the growing season, mm. The  $R^2$  values were 0.96, 0.93, and 0.95 for the fitted curves for  $H_{max}$ ,  $DMA_{max}$ , and  $LAI_{max}$ , respectively. The first-order derivative of Equation (13) was set as 0.  $H_{max}$  was 250 cm,  $LAI_{max}$  was 5.1, and  $DMA_{max}$  was 20 725 kg/hm<sup>2</sup> when the total water consumption was 625 mm.

### 3.4 Relationship between $LAI_{max}$ and HI

The curve obtained for the relationship between HI and  $LAI_{max}$  in summer maize is shown in Figure 7. HI increased gradually as  $LAI_{max}$  increased to a particular range and then decreased. The relationship between  $LAI_{max}$  and HI could be described by the following quadratic polynomial function:

$$HI = -0.0142LAI_{max}^2 + 0.1457LAI_{max} + 0.1637 \quad (14)$$

where, the  $R^2$  value for the fitted curve was 0.96. The first-order derivative function of Equation (14) was set to 0. The results indicated that HI was maximized by 0.53 when  $LAI_{max}$  was 5.13. These findings suggest that  $LAI_{max}$  should be maintained near 5.13 to obtain a higher yield in summer maize.

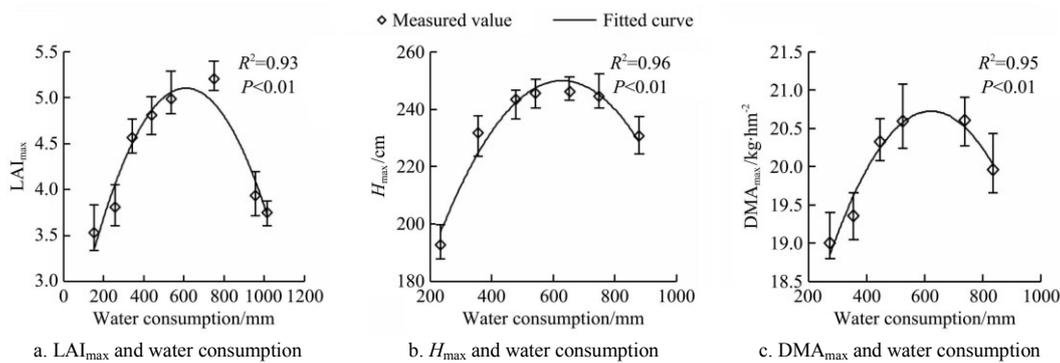


Figure 6 Relationships between the maximum leaf area index ( $LAI_{max}$ ), maximum plant height ( $H_{max}$ ), maximum dry matter accumulation ( $DMA_{max}$ ), and water consumption during the growing season

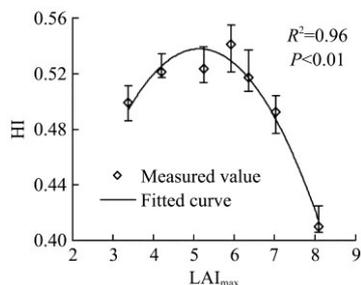


Figure 7 Relationship between the harvest index (HI) and maximum leaf area index ( $LAI_{max}$ )

### 3.5 Water-heat coupling relationship for the summer maize yield

The water-heat coupling relationship for the summer maize yield is described by Equation (15) ( $R^2 = 0.53$ ,  $p < 0.01$ ). Ten sets of non-modeling data were used to verify the water-heat coupling relationship and the results showed that  $Re = 4.4\%$ . Therefore, the summer maize yield can be predicted using Equation (15):

$$Y = 80145 - 57.56GDD_{max} - 181.19W + 4.68 \times 10^{-4}W^2 + 0.01GDD_{max}^2 + 0.09W \cdot GDD_{max} \quad (15)$$

where,  $Y$  is the yield of summer maize,  $kg/hm^2$ . The coefficients of  $W^2$  and  $GDD_{max}^2$  were positive in Equation (15) and the coefficient of the coupling term ( $W \cdot GDD_{max}$ ) was positive.

## 4 Discussion

### 4.1 Relationships between relative growth indices, GDD, and RGDD

In this study, the regional logistic models of the relationships between RH, RLAI, and RDMA with GDD and RGDD in summer maize were established for China as an example. The results showed that as GDD and RGDD increased, both RH and RDMA increased gradually and finally tended to stabilize at steady values, whereas RLAI increased initially and then decreased. The increases in  $H$  and the foliar area were slow from the seedling to trefoil stage when  $GDD < 500^\circ C$  and  $RGDD < 0.3$ . The stem and leaves grew rapidly and LAI increased in a linear manner from the jointing to 12-leaf stage when GDD ranged between  $500^\circ C$  and  $1000^\circ C$ , and RGDD ranged between 0.3 and 0.5<sup>[48]</sup>. Vegetative and reproductive growth occurred simultaneously, and the rates of increase in  $H$  and LAI decreased when GDD ranged between  $1000^\circ C$  and  $1300^\circ C$ , and RGDD ranged between 0.5 and 0.6.  $H$  and LAI peaked when GDD was about  $1400^\circ C$  and RGDD ranged between 0.6 and 0.8, which corresponded to the silking stage, and LAI was stable when the maize was in the milk ripening stage. Vegetative growth stopped after silking, mainly due to the allocation of resources to reproductive growth. LAI decreased

gradually in the dough stage when  $GDD > 1400^\circ C$  and  $RGDD > 0.8$ . The changes in DMA were mainly due to increases in  $H$  and foliar growth when  $GDD < 700^\circ C$  and  $RGDD < 0.4$ , and the accumulation of dry matter was slow. Vegetative and reproductive growth occurred simultaneously in the maize silking stage when GDD ranged between  $1000^\circ C$  and  $1400^\circ C$ . Thus, the stems, leaves, and fruit grew simultaneously, and the dry matter accumulated rapidly. The grains grew rapidly and DMA continued to increase rapidly when GDD ranged between  $1400^\circ C$  and  $1600^\circ C$ , and RGDD ranged between 0.7 and 0.8. However, the rate of growth was lower than that in the silking stage when the temperature had a major role in reproductive growth. Low rates of photosynthesis or temperatures can lead directly to low maize yields<sup>[189]</sup>. The rate of increase in DMA decreased when GDD reached  $1600^\circ C$  and  $RGDD > 0.8$ , and DMA peaked in the maturation stage when GDD increased to about  $2000^\circ C$ .

In addition, the upper and lower envelope parameters were within specific ranges. The parameters for the average curve were selected as the parameters for the final universal model, but the ranges of the upper and lower envelope parameters are suitable for use as the ranges of the summer maize growth model parameters in most areas of China. In the relationship between RH and GDD, the  $a_0$  and  $a_1$  parameters for the upper and lower envelopes differed by 36.2% and 20.9%, respectively. In the relationship between RH and RGDD, the  $a_0$  and  $a_1$  parameters for the upper and lower envelopes differed by 5.5% and 41.3%, respectively. In the relationship between RLAI and GDD, the  $c_0$ ,  $c_1$ , and  $c_2$  parameters for the upper and lower envelopes differed by 38.2%, 26.3%, and 22.4%, respectively. In the relationship between RLAI and RGDD, the  $c_0$ ,  $c_1$ , and  $c_2$  parameters for the upper and lower envelopes differed by 7.3%, 6.1%, and 7.9%, respectively. In the relationship between RDMA and GDD, the  $b_0$  and  $b_1$  parameters for the upper and lower envelopes differed by 40.2% and 5.4%, respectively. In the relationship between RDMA and RGDD, the  $b_0$  and  $b_1$  parameters for the upper and lower envelopes differed by 37.0% and 9.9%, respectively. Therefore, the ranges of the other parameters became smaller when GDD was relativized. The parameters  $a_1$  and  $b_1$  are related to RH, RDMA, and the growth rate of summer maize, and they reflect the sensitivity of  $H$  and DMA to changes in the external environment. RGDD can represent the relative growth period for summer maize. The increases in the ranges of  $a_1$  and  $b_1$  indicate that  $H$  and DMA are clearly affected by the external environment in the same growth period, and the sensitivity of different growth periods can vary. The logistic model established using GDD does not reflect this feature. Excluding  $a_1$  and  $b_1$ , the ranges of the remaining parameters became smaller, thereby demonstrating that the logistic model

established using RGDD is more suitable for describing the universal growth characteristics of summer maize. However, the logistic model established using GDD can reflect the response of a crop to temperature in each growth stage, and it is also very important for managing the growth dynamics of crops. Therefore, the two methods should be used together in practical applications in order to accurately predict the growth status of crops.

This universal model has the advantages of simplicity and simple application for most areas of China. In the future, the characteristics of discrete spots near the upper and lower envelope curves will be investigated utilizing data such as tilled soil layer qualities, fertilizer, and illumination intensity. An appropriate method would be sought to ensure that the upper and lower envelope parameters and the average curve are closer to each other, and improve the accuracy of the model.

#### 4.2 Relationships between $H_{\max}$ , $LAI_{\max}$ , $DMA_{\max}$ , and water consumption

Water consumption is the amount of water consumed by crops during the growing season and it is a major factor that affects crop growth. A suitable soil water content and atmospheric humidity can improve crop yield. Thus, quadratic functions were also established between  $H_{\max}$ ,  $LAI_{\max}$ ,  $DMA_{\max}$ , and water consumption for different regions. These relationships play important roles in regulating growth and predicting the yield of summer maize, thereby improving the model. Summer maize is a dryland crop in these areas and the rainy season occurs during the growing season. The rainfall in normal years can generally satisfy the demand for water from maize. Moderate irrigation can be used in drought years, but the irrigation quota should be moderate and generally about 150 mm<sup>[202]</sup>. Increasing the amount of irrigation within a particular range can promote transpiration and evaporation, but excessive irrigation may destroy the structure of the soil around the root system, thereby decreasing particle aggregation and aeration. Excessive soil water contents may also affect respiration by crop roots and lead to anaerobic respiration, which is not conducive to the normal growth of maize and it can even lead to lower yields. Many studies have reported that a moderate water deficit can increase the yields of crops<sup>[203-208]</sup>, so irrigation should not be excessive.

In this study, the best values were obtained for all the summer maize growth indices when  $W$  was 625 mm. These results are consistent with those reported by Liu et al.<sup>[202]</sup> regarding the consumption of water by summer maize in northern China.

#### 4.3 Relationship between $LAI_{\max}$ and HI

The leaf is the main organ of photosynthesis, which determines the yield to a great extent. The LAI in each growth stage is correlated with the yield in various summer maize cultivars. In this study, the quantitative relationship between  $LAI_{\max}$  and HI was established. HI tended to increase initially and then decreased. The maximum HI was 0.53 when  $LAI_{\max}$  was 5.13, thereby indicating that more nutrients were distributed to the plant organs, which led to a higher yield when  $LAI_{\max}$  exceeded the range. LAI was excessively high beyond this range, which indicates that the leaves would absorb more nutrients than necessary and that the grain yield would decrease due to the lower supply of nutrients. Therefore,  $LAI_{\max}$  should be maintained near 5.13 to obtain higher summer maize yields.

#### 4.4 Water-heat coupling relationship for summer maize yield

Water-heat coupling is an important part of the soil-plant-atmosphere continuum, which is linked to transpiration, evaporation, soil moisture, and atmospheric temperature.

Theoretically, moisture and temperature both have specific thresholds with respect to crop growth, where both have positive effects on crop growth within these thresholds, which can lead to higher crop yields. The coefficients of  $W^2$  and  $GDD_{\max}^2$  were positive in Equation (15), thereby indicating that the summer maize yield was characterized as increasing initially and then decreasing with the increase in  $GDD_{\max}$  or  $W$ . The coefficient of the coupling term ( $W \cdot GDD_{\max}$ ) was positive, which suggests that water-heat coupling had a synergistic effect on the summer maize yield.

In this work, universal models were proposed for predicting summer maize growth in China. The logistic model was a deterministic function, but hard to accurately simulate the data points of special cases such as the different irrigation and fertilization schedule, extreme weather, plant diseases, and insect pests. Therefore, the simulation accuracy of the universal model under the above specific cases needs to be further improved in future work.

## 5 Conclusions

The plant height ( $H$ ), leaf area index (LAI), and dry matter accumulation (DMA) were strongly correlated with the growing degree days (GDD) and relative growing degree days (RGDD). The harvest index (HI) was correlated with LAI, and LAI had a clear functional relationship with water consumption. Thus, a quantitative model was established to synthetically analyze the main growth characteristics of summer maize based on water consumption, GDD, and RGDD.  $H$ , LAI, and DMA could be predicted using GDD or RGDD for the corresponding growing season. RGDD was more suitable than GDD for establishing a logistic model to describe the changes in RH, RLAI, and RDMA.  $LAI_{\max}$  and HI could be predicted using a suitable water consumption estimate. The relationships between the yield,  $GDD_{\max}$ , and the water consumption during the growing season ( $W$ ) could be described by a binary quadratic equation, thereby allowing the summer maize yield to be predicted using  $GDD_{\max}$  and  $W$ .

## Acknowledgements

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