# Generation mechanism of NO<sub>x</sub> and N<sub>2</sub>O precursors (NH<sub>3</sub> and HCN) from aspartic acid pyrolysis: A DFT study

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Abstract: In order to better understand the mechanism of NO<sub>x</sub> and N<sub>2</sub>O precursors (NH<sub>3</sub> and HCN) from aspartic acid (Asp) pyrolysis, decomposition reaction networks resulting in the generation of NH<sub>3</sub> and HCN were investigated by employing density function theory methods. After several pathways were analyzed in detail, two series of pyrolytic reactions containing three possible pathways were proposed. All the reactants, transition states, intermediates and products were optimized, also the electronic properties on these crucial points were discussed, which shows that  $C_{\alpha}$  acts as the most active site to initiate the pyrolysis reaction, where the direct  $C_{\alpha}$ - $C_{\beta}$  bond breakage, due to the atomic charge population of repulsion, led to one key route for the generation of HCN, and the transfer of H<sub>a</sub> from  $C_{\alpha}$  to  $C_{\beta}$  resulting in another key route for the generation of HCN, while the transfer of H<sub>a</sub> from  $C_{\alpha}$  to N atom of Asp resulting in the key route for the generation of HN<sub>3</sub>. Further, the kinetic analysis based on speed control method in each key reaction pathway was conducted to further compare the generation of HCN and NH<sub>3</sub> under various temperatures. The above results are in accordance with the related experimental results.

Keywords: pyrolysis, aspartic acid (Asp), amino acid, DFT

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# **1** Introduction

Biomass has the largest utilization scale of renewable energy and ranks fourth in the total energy consumption which is just next to coal, oil, and natural gas<sup>[1]</sup>. Thermal utilization of biomass mainly includes pyrolysis, gasification and combustion. During biomass pyrolysis and gasification, the fuel-N will be converted to volatile-N (NH<sub>3</sub>, HCN, NO and HNCO), as well as char-N and tar-N<sup>[2-9]</sup>. Ammonium salt crystallizations can be formed by the reaction of NH<sub>3</sub>, CO<sub>2</sub> and H<sub>2</sub>O, which affect the security and long-term stable operation of the systems. HCN is a highly toxic gas which should be removed by complex purification device<sup>[10-12]</sup>. In the process of biomass combustion, volatile-N, tar-N and char-N will be oxidized to NO<sub>x</sub>, N<sub>2</sub>O and other nitrogen oxides, resulting in acid rain and photochemical smog which destroy the atmosphere and affect human health<sup>[13]</sup>.

It has been demonstrated that the main nitrogen functionalities in biomass exists in proteins and amino acids together with some other forms such as DNA, RNA, alkaloids, porphyrin and chlorophyll<sup>[10,11]</sup>. Pyrolysis is the primary step of biomass gasification and combustion. Therefore, many efforts have been conducted to study the N-containing species in the gas products and tar. NH<sub>3</sub>

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and HCN are the main forms of volatile-N and the yields of NO and HNCO are relatively low. Michaël et al.<sup>[14]</sup> investigated that NH<sub>3</sub> is the main N-compound with increasing temperature until reaching a plateau at 825-900°C, while HCN release is increasing sharply with temperature which attribute to the volatile-N cracking and secondary reactions. Biomass size and heating rate have significant effects on the selectivity of N-conversion during pyrolysis as it will influence the thermal conditions and consequently the eventual secondary reactions in the sample<sup>[15,16]</sup>. Different types of biomass also have different nitrogen release characteristics, which are mainly determined by the occurrence of nitrogen in biomass. It is known that cellulose, hemicellulose and lignin are the major components in biomass and the correlation of these components on the N-containing release behavior have been conducted by several Lignin may promote formation of researchers. heterocyclic nitrogenous compounds which then decompose to form of HCN<sup>[17]</sup>. In presence of cellulose, hemicellulose and lignin, the content of char-N was significantly increased during amino model compound pyrolysis<sup>[18]</sup>. Biomass contains considerable amounts of alkaline earth metallic species (AAEMs) from the soil during growth. A significant effect on N-containing species release by AAEMs during biomass pyrolysis has been revealed by Ren et al.<sup>[19]</sup> Potassium salts can promote N-conversion to volatile-N at lower temperature for activation energy decreasing, but restrain the total yields of the N-containing species. With the addition of CaO, the total yields of HCN and NH<sub>3</sub> decrease in the whole pyrolysis temperature region.

As mentioned above, fuel-N conversion paths during biomass pyrolysis are affected by several conditions including heating rate, final temperature, biomass fuel type, reactor form and residence time, etc., which is difficult to obtain clear fate of fuel-N. Therefore, N-containing model compound such as yellow peas, soya beans, whey protein and different kinds of amino acids to selected to study the transformation of nitrogen<sup>[15,16,20,21]</sup>. The pyrolysis of model compounds would lead to increasing insight regarding the formation of N-containing species from biomass pyrolysis. These

studies investigated that HCN, NH<sub>3</sub> and HNCO are the main pyrolysis products from proteins and amino acids which greatly affects the distribution of volatile-N and char-N as well as HCN/NH<sub>3</sub> selectivity<sup>[15,16]</sup>. It is identified that nitrogen bound in the proteins and amino acids is the main source of NH<sub>3</sub>, and heterocyclic nitrogen is the main source of HCN during pyrolysis especially at the temperature higher than 800°C. It was proved that the yields of HCN, and NH<sub>3</sub> and nitrogen conversion pathway from amino acid pyrolysis are influenced in the presence of AAEMs. The primary decomposition of the amino acid as well as the secondary cracking pathway of 2, 5-piperazinedione are both catalyzed by AAEMs. Mineral matter also has catalytic effects on the thermal cracking of nitrogen-containing char for amino acids with reactive side chains<sup>[20,21]</sup>.

Although extensive experimental studies on amino acid pyrolysis under different conditions have been carried out, few theoretical studies have systematically analyzed the detailed mechanism of amino acid decomposition for the generation  $NO_x$  and  $N_2O$  precursors (NH<sub>3</sub> and HCN). Experimental studies usually detected the pyrolysis characterization based on the analysis of the final products. However, the key reaction pathway leading to the generation of NH<sub>3</sub> and HCN involves various intermediates and the related electronic interactions between atoms, which remain unknown. To solve this issue, the present study selected aspartic acid (Asp) as the probe amino acid molecule and focuses on the mechanisms of NH<sub>3</sub> and HCN generation resulted by direct bond breakage and hydrogen transport in Asp, revealing the key pathways to the final generation of NH<sub>3</sub> and HCN during Asp pyrolysis. Following the key steps, electronic properties of the crucial points were detected to reveal the electronic interactions between atoms and the active site within the Asp molecule. Further, the kinetic models of the strategic routes under different temperature were described. Results can provide fundamental understanding of Asp pyrolysis for controlling the generation of NH<sub>3</sub> and HCN.

#### 2 Computational details

The detailed equilibrium geometries and electronic

properties of the reactant, intermediates, transition states and products involved in every chemical reactions were performed using density functional theory (DFT) calculations, which were employed in the present study within the DMol<sup>3</sup> package. The exchange-correlation energy of electrons was calculated with the spin-polarized generalized gradient approximation (GGA)<sup>[22]</sup> as implemented in the DMol<sup>3</sup> package. The Perdew-Burke-Ernzerhof (PBE) exchange-correction functional<sup>[23]</sup> and the double numerical plus polarization (DNP)<sup>[24-26]</sup> basis set were used throughout the calculations, which is equivalent in accuracy to the commonly used 6-31G\*\* of Gaussian orbital basis set. But the numerical basis set is much more accurate than a Gaussian basis set with the same size. During the calculations, atoms were relaxed and Brillouin zone integration was performed at the gamma point<sup>[27]</sup>. Calculations adopt an energy convergence tolerance of  $1 \times 10^{-6}$  Ha and gradient convergence of  $1 \times 10^{-6}$  Ha/Å. A formulation for the linear (LST) and quadratic synchronous transit (QST) methods was used to search the transition states<sup>[28,29]</sup>, which had exactly one imaginary frequency while the reactant, intermediates and products had no imaginary frequencies. Standard thermodynamic changes are energy differences between the reactants and the products, including the ZPE. Energies of optimized reactants and products at different temperatures (673 K, 773 K, 873 K, 973 K and 1073 K) were calculated in frequency calculation route section in reference to the work of Zhang et al.<sup>[30]</sup>

The Asp molecule was modeled and the stable configuration was obtained after geometric optimization, as shown in Figure 1. Then the optimized Asp molecule was set as the reactant to initiate the pyrolysis reaction through direction bond breakage route and hydrogen atom transport route to seek the most possible pathway for the finally generation of  $NH_3$  and HCN.



Figure 1 Stable configuration of Asp

# **3** Results and discussion

#### 3.1 Reaction network of gas pyrolysis

First of all, we initiated our investigation by finding the key reaction steps during gas pyrolysis to gather a broad view of the reaction process. To map out the fit reaction network, we took a recursive "trial-and-error" approach in reference to the work of Liu<sup>[31]</sup>. First the initial bond cleavage of Asp was explored by breaking its CH, OH, NH, CN and CC bonds individually and took into the transfer of hydrogen atom (including  $H_{\alpha}$ ,  $H_{\beta}$  and  $H_N$ ) then walked through each "likely" channel stepwise until NH<sub>3</sub> and HCN species were reached. The following will detail the calculated reaction networks for Asp pyrolysis through direct bond breakage and hydrogen transport processes.

# 3.1.1 Bond breakage and dehydrogenation

The calculated Asp pyrolysis reaction network through bond breakage is schematically summarized in Figure 2, where it aimed at achieving the most possible reaction path for the generation of NH<sub>3</sub> and HCN. As a starting point, we identified nine different bond-breaking and two de- $H_2$  pathways. The two direct  $H_2$  generation routes are most unlikely, with extremely high reaction barriers (471.22 kJ/mol and 481.98 kJ/mol), and the next most unfavorable pathways are dehydrogenation from the acid group [-COOH] and from the [-NH<sub>2</sub>] group due to the high electronegativity of the O atom and N atom. While the high barriers of these pathways may be expected, it is quite unanticipated to observe that the  $H_{\beta}$ -dehydrogenation is, in fact, less favorable than  $H_{\alpha}$ -dehydrogenation and the direct  $C_{\alpha}$ - $C_{\beta}$  decomposition.  $C_{\alpha}$  acts as the active site in the Asp molecule. And the direct  $C_{\alpha}$ - $C_{\beta}$  decomposition path is the most possible reaction process, with the lowest barrier energy  $(E_a)$  of 225.27 kJ/mole compared to other bond breakage reactions. Following the direct  $C_{\alpha}$ - $C_{\beta}$  decomposition reaction, the second most possible reaction relates to the de-H<sub>2</sub> from the [-NH<sub>2</sub>] group of the generated [CHNH<sub>2</sub>COOH] species, showing an  $E_a$  of 240.62 kJ/mol. Based on the first two steps, we only detected the [HCN] species released as the third step, the reaction step showed an  $E_a$  of 101.79 kJ/mol. Results imply that if the first and the second steps happen, [HCN] will

generate easily. However, the electronic properties of the reactants contribute to each reaction. In the

following section, it is significant to go further into the nature of the key reaction paths.

$$\begin{array}{c} E_{e}=397.40 \\ C \\ E_{e}=415.97 \\ O \\ H_{2} \\ H_{2} \\ O \\ H_{2} \\ H_{2} \\ O \\ H_{2} \\ H_{2} \\ H_{2} \\ O \\ H_{2} \\ H_{2}$$

Figure 2 Reaction network initiated from the decomposition of the pure Asp molecule to the final decomposition into NH<sub>3</sub> and /or HCN (The reaction barriers (kJ/mol) are the barriers after the zero-point-energy correction)

3.1.2 Hydrogen transport for further decomposition of Asp

In fact, atom transport is unavoidable within the molecule during pyrolysis processes. Figure 3 schematically summarized the reaction network initiated from three different hydrogen transports (named as the  $H_N$ -path, the  $H_\beta$ -path and the  $H_\alpha$ -path) to the final decomposition into [NH<sub>3</sub>] and/or [HCN].

Through the  $H_{\alpha}$ -path,  $H_{\alpha}$  transport to  $C_{\beta}$  resulting in the breakage of  $C_{\alpha}$ - $C_{\beta}$  is more likely, with the lowest reaction barrier of 246.19 kJ/mol, and the next favorable pathway is  $H_{\alpha}$  transport to  $C_N$  forming [-NH<sub>3</sub>] group. Then the [-NH<sub>3</sub>] group can decompose from the COOHCH<sub>2</sub>C(CH<sub>3</sub>)COOH molecule and change into [NH<sub>3</sub>] species. In additions, breakage of  $C_{\alpha}$ - $C_{\beta}$  led to the generation of [COOHCH<sub>3</sub>] and C(NH<sub>2</sub>)COOH species. Because this work focused on the generation of NH<sub>3</sub> and HCN, we went further into the decomposition of C(NH<sub>2</sub>)COOH, involving H<sub>N</sub> transport, bond breakage and dehydrogenation. The H<sub>N</sub> transport path is terminated by the generation of [CH(NH)COOH] species, which is related to the transport of  $H_N$  from the N atom to  $C_{\alpha}$ . But the dehydrogenation of  $H_N$  forming the [C(NH)COOH] species went through a further  $H_N$  transport from N atom to  $C_{\alpha}$  forming the [HCNCOOH] species, which then decomposed into [HCN] and [COOH] with the  $E_a$  of 101.79 kJ/mol.

Through the  $H_N$ -path,  $H_N$  transfer to the C atom of the right COOH group is more energetically accessible than the transfer to other atoms of Asp. Another  $H_N$  further transferred toward the generated  $-C(OH)_2$  group, resulting in the generation of  $H_2O$  molecule. Further reactions showed far higher barrier energies than the paths for generation of [NH<sub>3</sub>] and [HCN] following the  $H_a$ -path in Figure 3. Therefore, it needs not to discuss further reactions following the  $H_N$ -path.

For the  $H_{\beta}$ -path, all the reactions are more energetically close while compared to the  $H_N$ -path and the  $H_{\alpha}$ -path, since  $H_{\alpha}$  and  $H_N$  are more active than  $H_{\beta}$ . For  $H_{\alpha}$  and  $H_N$ , especially for  $H_{\alpha}$ , more density of states are observed at energies close to the Fermi level, while they are much lower for  $H_N$ . Obviously, the total density of state for  $H_{\alpha}$  with its high electronic states allows for an energetically easier excitation and renders  $H_{\alpha}$  thereby more reactive than the  $H_N$  and  $H_{\beta}$ .



Figure 3 Reaction network initiated from hydrogen transport of the pure Asp molecule to the final decomposition into NH<sub>3</sub> and /or HCN (The reaction barriers (kJ/mol) are the barriers after the zero-point-energy correction)

#### **3.2 HCN emission from Asp pyrolysis**

Depending on Figures 2 and 3, this study focused on the possible reaction path with the lowest reaction barrier for the generation of HCN, where two key reaction paths can be observed. Figure 4a shows the calculated potential energy profiles for the key reaction path for Asp decomposition into HCN. The reactions initiate from the optimized geometries of the pure Asp molecule. Then the negative atomic charge population of  $C_{\alpha}$  and  $C_{\beta}$ lead to the decomposition of  $C_{\alpha}$ - $C_{\beta}$  more accessible than other decomposition channels as showed in Figure 2. The activation energy ( $E_a$ ) and reaction energy ( $E_r$ ) for this step are 225.27 kJ/mol and 212.62 kJ/mol, respectively, which is an endothermic process. The atomic charge distribution of the system at the critical points, i.e. initial state, transition state and final state, of the reaction shows mainly partial electron transfer from to Сβ. With atomic charge repopulation,  $C_{\alpha}$ [COOHCH<sub>2</sub>CH(NH<sub>2</sub>)COOH] decomposition into [COOHCH<sub>2</sub>] and [CH(NH<sub>2</sub>)COOH] through one-step reaction mechanism.

Since N element is un-appear in [COOHCH<sub>2</sub>], the step-2 in Figure 4a initiates from the optimized geometries of [CH(NH<sub>2</sub>)COOH] which crosses the barrier of 225.27 kJ/mol and changes into a free hydrogen molecule and the [HCNCOOH] species with the  $E_r$  of

200.60 kJ/mol. Then, if gaining an activation energy of 101.79 kJ/mol, [HCNCOOH] would decompose into the [HCN] and [COOH] species.

The above steps follow one-step reaction mechanism, and the chain reaction process can be concluded as follows:

 $[HCNCOOH] \rightarrow [HCN] + [COOH] (Step-3 in Figure 4a)$ 

Step-2 is the speed control step from the generation of [HCN]. The highest barrier energy for [HCN] generation is 240.62 kJ/mol. And the reaction energy for the whole process for generation of [NH<sub>3</sub>] is 505.77 kJ/mol.

Then, taking the hydrogen transport to the neighbor sites within the molecule into consideration, it was found that another key route can also lead to the generation of HCN. Figure 4b illustractes the calculated potential energy profiles for the key reaction path for Asp decomposition into HCN, which initiated from  $H_{\alpha}$ transport from  $C_{\alpha}$  to the  $C_{\beta}$  within the Asp molecule.



Figure 4 Calculated potential energy profiles for the key reaction path for Asp decomposition into HCN, (a) without and (b) with the considering of hydrogen transport (Charges of the atoms are underlined)

While Asp crossed the energy barrier of 246.19 kJ/mol,  $H_{\alpha}$ - $C_{\alpha}$  bond broke and moved toward  $C_{\beta}$  at the bridge site between  $C_{\alpha}$  and  $C_{\beta}$ , resulting in the breakage of the  $C_{\alpha}$  and  $C_{\beta}$  bond, showing that the  $H_{\alpha}$ - $C_{\alpha}$ 

bond length and  $H_{\alpha}$ - $C_{\beta}$  bond length are 1.273 Å and 1.441 Å, respectively. During this process, electron loss at  $C_{\alpha}$  and enriched at  $C_{\beta}$ , indicating charge transported from  $C_{\alpha}$  to  $C_{\beta}$ . Then  $H_{\alpha}$  further moved and bound to  $C_{\beta}$ ,

as well as charge further repopulation, the Asp molecule broke into [COOHCH<sub>3</sub>] and [H<sub>2</sub>NCCOOH] species, giving the  $E_r$  of 207.92 kJ/mol. This process is endothermic. [H<sub>2</sub>NCCOOH] species jumped into another stable molecular state if obtaining an energy of 46.85 kJ/mol during the pyrolysis process. Further activated by the relatively high temperature, the [H<sub>2</sub>NCCOOH] species crossed an energy barrier of 232.85 kJ/mol, leading to the generation of an atomic hydrogen and a neutral molecule [HNCCOOH]. However, the  $H_N$  prefers to transport to  $C_{\alpha}$  rather than other processes within the [HNCCOOH] molecule (as showed in Figure 4). The reaction of [HNCCOOH] changing into [NCHCOOH] shows the  $E_a$  and  $E_r$  of 190.66 kJ/mol and -11.67 kJ/mol. This process is exothermic. Then [HNCHCOOH] decomposes into the [HCN] and [COOH] species, the same process as Step-3 in Figure 4a.

All the steps processes in Figure 4b can be concluded as follows:

 $\begin{array}{rcl} [\text{COOHCH}_2\text{CH}(\text{NH}_2)\text{COOH}] & \rightarrow & [\text{COOHCH}_3] & + \\ [\text{H}_2\text{NCCOOH}] & (\text{Step-1 in Figure 4b}) \\ [\text{H}_2\text{NCCOOH}] & \rightarrow & [\text{NCHCOOH}] & + & [\text{H}] & (\text{Step-2 in Figure 4b}) \end{array}$ 

[HNCCOOH]  $\rightarrow$  [NCHCOOH] (Step-3 in Figure 4b)

 $[CNCHOOH] \rightarrow [HCN] + [COOH] (Step-4 in Figure 4b)$ 

 $H_{\alpha}$  transport from  $C_{\alpha}$  to  $C_{\beta}$  and the resulted  $C_{\alpha}-C_{\beta}$ bond breakage act as the speed control step from the generation of [HCN], corresponding to Step-1 in Figure 4b. The highest barrier energy for [HCN] generation following this mechanism is 246.19 kJ/mol. And the reaction energy for the whole process for the generation of [HCN] is 564.37 kJ/mol. Both the  $E_a$  and the  $E_r$  for the generation of [HCN] in Figure 4b are slightly higher than those in Figure 4a, suggesting that the direct decomposition of Asp molecule initiating from  $C_{\alpha}-C_{\beta}$ bond breakage is more accessible than initiating from  $H_{\alpha}$ transport route.

It was further verified that generated [HCN] species is HCN by comparing its density of state (DOS) to that of the pure HCN molecule. Figure 5 plot the DOS for both [HCN] and the pure HCN molecule. From Figure 5, it could be observed no changes in the DOS for the case of [HCN] and the pure HCN molecule. Around the Fermi level ( $E_f = 0.0$  eV), between -7 eV and -6 eV, and between -15 eV and -14 eV, DOS of the [HCN] shows three sharp peaks which corresponds to  $\pi$  bond between C atom and N atom ( $\pi_{C-N}$ ),  $\sigma$  bond between C atom and N atom ( $\sigma_{C-N}$ ),  $\sigma$  bond between C atom and H atom ( $\sigma_{C-H}$ ), respectively. The  $\pi_{C-N}$  contributes the highest occupied molecular orbital (HOMO), while the d bond between C atom and N atom  $(d_{C-N})$  around 5 eV contributes to the lowest unoccupied molecular orbital (LUMO), corresponding to the work of Ali Shokuhi Rad<sup>[32]</sup>. However, though the DOS of the generated [HCN] left shifts, the characteristic peaks of the DOS corresponds to  $d_{\text{C-N}}$ ,  $\pi_{\text{C-N}}$ ,  $\sigma_{\text{C-N}}$  and  $\sigma_{\text{C-H}}$ , respectively. It was calculated that N-H bond length and H–N–H bond angle for NH<sub>3</sub> are

1.162 Å and 1.077 Å, respectively, which are in agreement with those of 1.160 Å, 1.075 Å for the generated HCN on  $Pt^{[33]}$ , respectively. Results verified the generated [HCN] as HCN molecule.



Figure 5 Total electronic densities of states for [HCN] and the pure HCN molecule

#### 3.3 NH<sub>3</sub> emission from Asp pyrolysis

Figure 6 shows the same energy profiles as Figure 5 but for the key reaction leading to the generation of the [NH<sub>3</sub>] species. The reaction initiated from the stable Asp molecule. The H<sub>a</sub> atom moves toward the N atom, bonding to the bridge site of the N and O atom within the Asp molecule. Then H<sub>a</sub> approached further to the N atom, the H atom lost more electron density than that at the initiate state, due to the higher electronegativity of N atom than that of C<sub>a</sub>, which led to the formation of [NH<sub>3</sub>] group. This process requires barrier energy of 263.02 kJ/mol. And the reaction energy is 104.42 kJ/mol. Further the product crosses the barrier of 276.57 kJ/mol and obvious charge repopulation occurs, resulting in de-NH<sub>3</sub>. The reaction energy for the whole process for generation of  $[NH_3]$  is 326.46 kJ/mol.

The generation of [NH<sub>3</sub>] follows a two-step chain reaction mechanism:

 $[COOHCH_2CH(NH_2)COOH] \rightarrow [COOHCH_2C(NH_3)$ COOH] (Step-1 in Figure 6)  $[COOHCH_2C(NH_3)COOH] \rightarrow [COOHCH_2CCOOH]+$  [NH<sub>3</sub>] (Step-2 in Figure 6)

where, the Step-1 is the rate-determining step.

The highest barrier energy for [NH<sub>3</sub>] generation following this mechanism is 276.57 kJ/mol, which is higher than those for the generation of HCN through the two key pathways discussed above. And the reaction energy for the whole process for generation of [NH<sub>3</sub>] is 36246 kJ/mol.





To validate the theoretical prediction of the generated  $NH_3$  molecule, the DOS of  $[NH_3]$  species has been carried out in comparison with that of a pure  $NH_3$  molecule, which is shown in Figure 7.



Figure 7 Total electronic densities of states for  $[NH_3]$  and the pure  $NH_3$  molecule

Figure 7 observes that the DOS of  $[NH_3]$  is almost the same as that of the pure NH<sub>3</sub> molecule, showing three characteristic peaks around and below the  $E_f$ , which are for 2s of N atom (2s<sub>N</sub>),  $\sigma$  bond between H atom and N atom ( $\sigma_{N-H}$ ), the 2p<sub>y</sub> electrons of N atom of the  $[NH_3]$ species and the pure NH<sub>3</sub> molecule, respectively. Calculated N-H bond length and H–N–H bond angle for NH<sub>3</sub> were 1.028 Å and 105.973°, respectively, which are in agreement with the reported experimental values of 1.02 Å and 106.7°, respectively<sup>[34,35]</sup>. Results verified the generated NH<sub>3</sub> molecule.

While comparing the barrier energy for the generation of both NH<sub>3</sub> and HCN through the direct  $C_{\alpha}$ - $C_{\beta}$  bond breakage route and the H<sub> $\alpha$ </sub> transport route, it was found that HCN generates easier than NH<sub>3</sub> during the pyrolysis of Asp process, which corresponds to the previous results<sup>[34,35]</sup>.

#### 3.4 Kinetics analysis

To address the trends in NH<sub>3</sub> and HCN generation more accurately, kinetic models are required in order to treat the different time scales associated with the temperature during the pyrolysis of Asp. It is generally agreed that, the apparent reaction rate of the whole chain reaction for generation of NH<sub>3</sub> and HCN depend on the step with the highest barrier energy (generally taken as the rate-determining step).

Therefore, the reaction rate for HCN generation follows the direct Asp decomposition processes and the  $H_a$ -path processes depends on the following two steps, as analyzed above:

$$\begin{split} & [CH(NH_2)COOH] \rightarrow [HCNCOOH] + [H_2] \\ & (Step-2 in Figure 4a) \\ & [COOHCH_2CH(NH_2)COOH] \rightarrow [COOHCH_3] + \\ & [H_2NCCOOH] \quad (Step-1 in Figure 4b) \end{split}$$

And the reaction rate for NH<sub>3</sub> generation following  $H_{\alpha}$ -path processes depends on the following step, as analyzed above:

 $[COOHCH_2C(NH_3)COOH] \rightarrow [COOHCH_2CCOOH] + [NH_3] \qquad (Step-2 in Figure 6)$ 

We applied a simple phenomenological model to carry out the trends. The net reaction rates for generation of HCN and NH<sub>3</sub> are written as:

$$R_{HCN} (\text{Step} - 2 \text{ in Figure 4a})$$

$$= \bar{k}c_{[CH(NH_2)COOH]} - \bar{k}c_{[HCNCOOH]} \times c_{[H_2]}$$

$$R_{HCN} (\text{Step} - 1 \text{ in Figure 4b})$$

$$= \bar{k}c_{[COOHCH_2CH(NH_2)COOH]} - \bar{k}c_{[COOHCH_3]} \times c_{[H_2NCCOOH]}$$

$$R_{NN_3} (\text{Step} - 2 \text{ in Figure 6})$$

$$= \bar{k}c_{[COOHCH_2CH(NH_2)COOH]} - \bar{k}c_{[COOHCH_3COOH]} \times c_{[NH_3]}$$

where,  $\bar{k}$  and  $\bar{k}$  are forward rate constant and backward rate constant, respectively; *C* is the concentration term for the corresponding reaction component.

The rate constants could be written as:

$$k_i = \frac{k_B T}{h} \frac{f^{\neq}}{\prod_j f_j} \exp(\frac{-E_i}{RT})$$

where,  $E_i$  is the activation free energy of step *i*. The degree of freedom for the reaction component *j* in each reaction step is given by:

$$f_i = f_t \cdot f_r \cdot f_v$$

where,  $f_t$ ,  $f_r$  and  $f_v$  is the translational, rotational, and vibrational degree of freedom, respectively.

Since gasification temperature for Asp must be higher than its melt point (>573K), Figure 8 depicts the reaction rates:

 $R_{HCN}[R_{HCN} = R_{HCN}(\text{Step} - 2 \text{ in Figure 4a}) +$ 

 $R_{HCN}(\text{Step}-1 \text{ in Figure 4b})],$ 

and  $R_{NH_3}[R_{NH_3}=R_{NH_3}$  (Step – 2 in Figure 6), correlated condition are under 673 K, 773 K, 873 K, 973 K and 1073 K, with the concentration of the reactant around 100 mol/L, according to its vapor density 5 (*vs* air) and neglecting the concentration term of the products respectively. It can be seen from Figure 8, HCN should be released before NH<sub>3</sub> during pyrolysis of Asp, and more HCN can be tested in comparison with NH<sub>3</sub>, corresponding to the experimental results<sup>[36]</sup>. The relation between reaction rate and temperature provides the fundamental understanding of reasonable operation for Asp pyrolysis.



Figure 8 Reaction rate for NH<sub>3</sub> and HCN generation from Asp pyrolysis under different temperature

# 4 Conclusions

In this research, the reaction networks of Asp pyrolytic reactions initiate from direct bond breakage and hydrogen transfer within the molecule were studied through DFT calculations. Two key reaction pathways were proposed for the generation of HCN, where one follows the direct bond breakage initiating from  $C_a$ - $C_\beta$ through а three-step reaction processes, [COOHCH<sub>2</sub>CH(NH<sub>2</sub>)COOH] [COOHCH<sub>2</sub>]  $\rightarrow$ + $[CH(NH_2)COOH], [CH(NH_2)COOH] \rightarrow [HCNCOOH] +$  $[H_2]$ , and  $[HCNCOOH] \rightarrow [HCN] + [COOH]$ , the highest barrier energy for [HCN] generation is 240.62 kJ/mol. And the reaction energy for the whole process for generation of [NH<sub>3</sub>] is 505.77 kJ/mol; and the other follows the transfer of  $H_{\alpha}$  from  $C_{\alpha}$  to  $C_{\beta}$  resulting a four mechanism, [COOHCH<sub>2</sub>CH(NH<sub>2</sub>)COOH] step +  $[H_2NCCOOH]$ , [HNCCOOH][COOHCH<sub>3</sub>]  $[HNCCOOH] + [H], [HNCCOOH] \rightarrow [NCHCOOH], and$  $[HCNCOOH] \rightarrow [HCN] + [COOH], the highest barrier$ energy for [HCN] generation following these mechanism is 246.19 kJ/mol. While the transfer of  $H_a$  from  $C_a$  to N atom of Asp, a two-step mechanism contributes to the generation of NH<sub>3</sub>, [COOHCH<sub>2</sub>CH(NH<sub>2</sub>)COOH]  $\rightarrow$ [COOHCH<sub>2</sub>C(NH<sub>3</sub>)COOH] and  $[COOHCH_2C(NH_3)COOH] \rightarrow [COOHCH_2CCOOH] + [NH_3], the highest barrier energy for [NH_3] generation$ following these mechanism is 276.57 kJ/mol and thereaction energy for the whole process for generation of $[NH_3] is 36246 kJ/mol. Results demonstrate that HCN$  $generates easier than NH_3 during the pyrolysis of Asp.$ Moreover, kinetic reaction models for the generation of $HCN and NH_3 under various temperatures based on speed$ control method in each key reaction pathway were $obtained. Tuning the properties of the active site <math>C_{\alpha}$  and the key reaction routes will favor the efficient and selective catalytic pyrolysis of Asp and other kinds of amino acids to control the emission of NO<sub>x</sub> and N<sub>2</sub>O precursors (NH<sub>3</sub> and HCN).

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